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1 UNITED STATES DISTRICT COURT
2 SOUTHERN DISTRICT OF NEW YORK

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3 JEAN AZOR, *et al.*,

4 Plaintiffs,

5 v.

20 Civ. 3650 (KFP)
Telephonic Proceeding

6 NEW YORK CITY DEPARTMENT OF
7 CORRECTIONS, *et al.*,

8 Defendants.

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9 New York, N.Y.
10 February 19, 2021
11 11:05 a.m.

12 Before:

13 HON. KATHERINE POLK FAILLA,

14 District Judge

15 APPEARANCES

16
17 KEENAN & BHATIA, LLC
Attorneys for Plaintiffs

18 BY: SONAL BHATIA

19 BY: EDWARD E. KEENAN

20 JAMES E. JOHNSON
Corporation Counsel for the City of New York

21 BY: DAVID S. THAYER

22 BY: CHLARENS ORSLAND

Assistant Corporation Counsel

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1 (Case called; The Court and all parties appearing
2 telephonically)

3 THE DEPUTY CLERK: Counsel, please state your name for
4 the record, beginning with plaintiffs.

5 MR. KEENAN: May it please the Court, E.E. Keenan
6 appearing on behalf of the plaintiffs.

7 MS. BHATIA: Sonal Bhatia appearing on behalf of the
8 plaintiffs.

9 MR. KEENAN: And with us on separate lines are Julia
10 Gokhberg, a litigation manager and paralegal with our firm; and
11 Marcus Miller, who is a clinical intern with our firm. Also on
12 the line today, separately is Mr. Anthony Medina, who is one of
13 the plaintiffs.

14 THE COURT: Thank you very much and good morning to
15 all of you.

16 And representing the defendants this morning?

17 MR. THAYER: Good morning, your Honor. This is David
18 Thayer from the New York City Law Department on behalf of
19 Defendant City of New York, Kisa Smalls, and Robin Collins. I
20 am also joined by my colleague in the general litigation
21 division at the law department Chlarens Orsland, and also
22 joined by a volunteer in our office, Amy Gordon, who is a
23 recent law school graduate and is kindly volunteering her time
24 before she starts at a firm.

25 Thank you.

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1 THE COURT: I have a number of people it seems to be
2 thanking for doing their work on this case so I appreciate all
3 of those efforts. What I would like to do now is to render the
4 oral decision in this case and I will ask for your attention as
5 I do that. In the course of that, though, there are a couple
6 of housekeeping issues that I will be raising but those will be
7 probably closer to the end of my decision.

8 What I would like to do, as I begin, is to echo what I
9 believe I said at last week's oral argument, and that is that I
10 appreciate to each of you your preparation and your
11 presentations, written and oral, as well as the additional
12 factual information that I have received from the witnesses in
13 this case and information from expert witnesses including
14 Dr. Harrington. I want to also thank the Keenan & Bhatia firm
15 for accepting this *pro bono* representation for plaintiffs
16 because this allows me to hear a greater number of related
17 cases in a more efficient manner. And I do, and I hope to
18 continue, to appreciate the dialogues that counsel are having
19 with each other and with their clients. The most recent
20 exchange evidenced by the letter that I received today and
21 yesterday suggests a bit of a bump in the road in counsel's
22 relationship. I do hope they're able to work that out and that
23 they're able to speak with each other before speaking to me,
24 but I also see that each side is having dialogues with their
25 clients and that has aided me. Plaintiffs' counsel's dialogue

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1 with the clients permits me to obtain real-time updates
2 concerning conditions at Rikers Island, while the dialogue that
3 defendants' counsel has had with defendants permits me to
4 understand the background of certain policy decisions and it
5 has allowed me to inquire, where it is appropriate, regarding
6 the reconsideration of those decisions.

7 For the reasons I am about to outline, I am not
8 granting plaintiffs' motion for preliminary injunctive relief
9 at this time. But, with respect to certain policies that have
10 been put forward by defendants as evidence of their
11 understanding of and compliance with their constitutional
12 obligations, I am also authorizing limited, targeted discovery
13 as to how these policies operate in practice at Rikers Island,
14 and at the conclusion of that period of discovery, I may
15 schedule a second round of motion practice for a more limited
16 form of injunctive relief if it turns out that these policies
17 are not in fact being followed.

18 Now, as I understood from oral argument, the parties
19 are largely in agreement regarding the applicable law so I'm
20 going to discuss it only briefly.

21 In last year's decision in *New York v. United States*
22 *Department of Homeland Security*, 969 F.3d 42, the Second
23 Circuit instructed that a plaintiff seeking a preliminary
24 injunction must establish that he is likely to succeed on the
25 merits, that he is likely to suffer irreparable harm in the

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1 absence of preliminary relief, that the balance of equities
2 tips in his favor, and that an injunction is in the public
3 interest. In this regard, irreparable harm is injury that is
4 neither remote nor speculative but actual and imminent, and
5 that cannot be remedied by an award of monetary damages. And,
6 that's from the same decision.

7 Plaintiffs here, are at least in part, asking the
8 Court to order a change in the status quo which would be
9 considered a mandatory preliminary injunction. The standard
10 there is more stringent and it requires the moving party to
11 demonstrate a substantial likelihood of success on the merits.
12 The cases for this proposition I cite, *Yang v. Kosinski*,
13 another 2020 decision from the Second Circuit reported at 960
14 F.3d 119, and *New York Progress & Protection PAC v. Walsh*, 733
15 F.3d 483, (2d Cir. 2013). While each of the named plaintiffs
16 in this case is a pretrial detainee, plaintiffs also purport to
17 represent a class of all Rikers Island inmates and that class
18 would include both pretrial and convicted detainees. The
19 Eighth Amendment for convicted prisoners and the Fourteenth
20 Amendment for pretrial detainees govern plaintiffs' claims of
21 unconstitutionality and therefore guide the Court's analysis in
22 determining their likelihood of success on the merits. A
23 decision where I think the Second Circuit best established this
24 principle is *Darnell v. Pineiro*, 849 F.3d 17, 29 (2d Cir.
25 2017). Under the Eighth and Fourteenth Amendment there is both

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1 an objective and subjective prong to the analysis of whether an
2 inmate's conditions of confinement are unconstitutional.

3 Judge Ramos, in last year's decision in
4 *Fernandez-Rodriguez v. Licon-Vitale*, 470 F.Supp. 3d 323, spoke
5 about this and discussed it at length. The objective prong
6 asks whether the conditions of which the inmates complain,
7 either alone or in combination, pose an unreasonable risk of
8 serious damage to their health which includes the risk of
9 serious damage to physical and mental soundness. And that is
10 from the *Darnell* decision I just mentioned.

11 In a case where the inmates complain of an elevated
12 risk of being harmed by the allegedly unconstitutional
13 conditions, the Court must determine whether society considers
14 the risk that the prisoner complains of to be so grave that it
15 violates contemporary standards of decency to expose anyone
16 unwillingly to such a risk. In other words, the prisoner must
17 show that the risk of which he complains is not one that
18 today's society chooses to tolerate. I am quoting here from
19 the *Fernandez-Rodriguez* decision, and that, in turn, is quoting
20 from the Supreme Court's decision in *Helling v. McKinney*, 509
21 U.S. 25 from 1993. This particular prong, the objective prong,
22 is identically analyzed for convicted and pretrial inmates.
23 The subjective prong, by contrast, differs slightly between the
24 Eighth and Fourteenth Amendment analyses as discussed in
25 *Darnell* and as discussed in *Fernandez-Rodriguez*. Let me make

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1 clear, though, *Fernandez-Rodriguez* was in the Fifth Amendment
2 due process context, but I believe it is equally applicable
3 under the Fourteenth.

4 Under the Eighth Amendment, the inmates must show that
5 the official knows of and disregards an excessive risk to
6 inmate health or safety; the official must both be aware of
7 facts from which the inference could be drawn that a
8 substantial risk of serious harm exists, and he must also draw
9 the inference. That's from the *Darnell* decision which, in
10 turn, was quoting *Farmer v. Brennan*, 511 U.S. 825, the Supreme
11 Court decision from 1994. The Fourteenth Amendment, however,
12 has a less stringent showing -- only that the official knew or
13 should have known that the condition posed an excessive risk to
14 health or safety. And, in this context, disregard means
15 failing to take reasonable measures to abate the
16 unconstitutional condition. That is from the *Farmer* decision I
17 just mentioned.

18 There is, separately, some claims under the Americans
19 with Disabilities Act and the Rehabilitation Act, and in order
20 to establish a violation under the ADA, the plaintiffs must
21 demonstrate that they are qualified individuals with a
22 disability; that the defendants are subject to the ADA; that
23 plaintiffs were denied the opportunity to participate in or
24 benefit from defendants' services, programs, or activities, or
25 were otherwise discriminated against by defendants by reason of

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1 plaintiffs' disabilities. I am quoting here from *Henrietta D*
2 *v. Bloomberg*, a Second Circuit decision from 2003, reported at
3 331 F.3d 261. And, because Section 504 of the Rehabilitation
4 Act and the ADA impose identical requirements, Courts usually
5 consider such claims in tandem and I will be considering them
6 in tandem here.

7 Beginning with the analysis, having now just described
8 the applicable law, I find that plaintiffs satisfy the
9 objective prong of the constitutional analysis and, in this
10 regard, plaintiffs and defendants raise nearly identical
11 arguments to address the irreparable harm element of the motion
12 for a preliminary injunction and the objective prong of their
13 likelihood of success on the merits element and that's why I am
14 addressing them together at this time.

15 Plaintiffs argue that substantially increased risk of
16 serious illness and death always constitutes irreparable
17 injury, even if plaintiffs have not been harmed. And that is
18 at their briefing, page 20. The plaintiffs similarly argue
19 that the serious nature of COVID-19 means that it stands with
20 the roster of infectious diseases from which correctional
21 officials have an affirmative obligation to protect detainees,
22 thereby satisfying the objective prong. And that's from their
23 briefing at page 21.

24 The defendants, for their part, concede that a
25 vulnerable person's infection with a serious communicable

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1 disease can constitute irreparable harm and that congregate
2 settlings, such as jails, present unique concerns in the midst
3 of a pandemic but they argue, nonetheless, that the wide
4 panoply of protective measures undermines any contention by
5 plaintiffs that any potential harm is actual and imminent. And
6 this is from the opposition submission at page 7.

7 Defendants also claim that the objective prong is not
8 met because the panoply of protective measures in place, based
9 on experience derived from prior pandemics, ensures that
10 incarcerated persons do not face an unreasonable risk of
11 serious harm to their health and safety. This is at page 11 of
12 the opposition. They argue that because there have been
13 relatively few deaths and recent infections at Rikers are
14 relatively low, there is no unreasonable risk of substantial
15 harm. And, finally, they contend that plaintiffs have not
16 substantiated that they are at a heightened risk due to medical
17 conditions because they have not submitted any medical records
18 supporting their claimed diagnosis. This is at page 8 and 9 of
19 their opposition.

20 In reviewing this issue and resolving it, the Court
21 has reviewed several district and circuit court decisions
22 addressing constitutional claims involving prison conditions:
23 In particular, because of their comprehensiveness and because
24 of their obvious factual similarities, the Court has considered
25 judge Kovner's decision in *Chunn v. Edge*, 465 F.Supp. 3d 168

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1 from the Eastern District in 2020, which addressed
2 pandemic-related conditions of confinement at the Metropolitan
3 Detention Center or MDC in Brooklyn, and Judge Ramos' decision
4 in *Fernandez-Rodriguez v. Licon-Vitale*, which I mentioned
5 earlier, which case concerned pandemic-related conditions of
6 confinement at the Metropolitan Correctional Center, or MCC, in
7 Manhattan.

8 Each decision resolved a motion for preliminary
9 injunction and each followed a multi-day evidentiary hearing.
10 The plaintiffs in *Chunn* sought both the immediate release of a
11 class of medically vulnerable inmates and proactive measures
12 including screening, testing, and quarantining protocols, as
13 well as mask-wearing and surface-cleaning protocols. The
14 hearing testimony included medical professionals on both sides,
15 as well as Bureau of Prisons, or BOP, personnel, and a prison
16 management consultant. Judge Kovner also remarked that she
17 reviewed and received thousands of pages of documents as
18 exhibits including numerous factual declarations.

19 In the course of detailing the MDC's then current
20 screening, sanitation, and sick call policies, Judge Kovner
21 concluded the evidence establishes that, on the whole, the MDC
22 has responded aggressively to COVID-19, implementing an array
23 of measures that largely track CDC guidance. The record leaves
24 open the possibility, however, that there were early lapses in
25 implementation of these policies. And, it suggests that in

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1 several areas, most notably relating to sick-call responses and
2 use of isolation, the MDC has yet to fully implement the CDC's
3 recommendations. I am quoting from this decision at page 181.

4 For the purposes of this segment of its analysis, this
5 Court will focus on Judge Kovner's evaluation of the objective
6 prong which she framed as whether petitioners have shown
7 substantial risk of serious harm from COVID-19 at the MDC in
8 light of the counter-measures that the facility has in place.
9 Ultimately, she concluded that the preliminary injunction
10 record leaves substantial reason to doubt petitioners will
11 ultimately succeed in making that showing. The MDC's response
12 to COVID-19 has been aggressive and has included, among other
13 steps, massively restricting movement within the facility,
14 enhancing sanitation protocols, and creating quarantine and
15 isolation units. And the data, though limited, suggests that
16 these measures have been quite effective in containing COVID-19
17 thus far. This is from page 201 of her decision.

18 The MCC plaintiffs in *Fernandez-Rodriguez* made similar
19 arguments and sought similar categories of relief. Judge
20 Ramos, however, concluded after the hearing, that plaintiffs
21 were likely to meet the objective prong. In so doing he
22 stated: The record shows, with the above guidelines in mind,
23 that the conditions in the MCC, despite the MCC's attempts at
24 protective measures, posed a substantial risk to the health of
25 its inmates. Rather than having a functioning sick-call

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1 system, the MCC admits it entirely failed to reviewed inmates'
2 electronically-submitted complaints due to neglect in staffing
3 of the sick-call inbox. Rather than rigorously and regularly
4 screening inmates in quarantine or those who had been exposed
5 to the Coronavirus, the MCC admits its staff came to rely on
6 temperature checks and generalized inquiries ignoring half of
7 the screening protocol recommended by both MCC's own policy and
8 the CDC. Rather than trace the contacts of infected staff, the
9 MCC admits that it failed to conduct the majority of these
10 investigations. And rather than attempt to use home
11 confinement, furloughs, and compassionate release as tools to
12 reduce the density among the most vulnerable inmates, the
13 prison chose not to pursue that path at all until well after
14 the initial outbreak had subsided. Furthermore, the MCC did
15 not provide serious rebuttal to the declarations to many of the
16 inmates who testified that a broad spectrum of their neighbors
17 developed symptoms of COVID-19 but never received care or
18 isolation, sometimes despite informing guards and medical staff
19 of their submissions. This is at page 350 and 351 of Judge
20 Ramos' decision.

21 On the record before it, this Court finds that
22 plaintiffs have met the objective prong of the constitutional
23 analysis. Plaintiffs argue that the defendants are not doing
24 enough to prevent both the introduction of the Coronavirus,
25 which no one can seriously dispute poses a substantial risk of

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1 harm -- particularly to folks with underlying co-morbidities or
2 living situations that hamper social distancing -- into the
3 Rikers Island complex, and that they're not doing enough to
4 prevent the spread of the virus once it was or has been
5 introduced.

6 In opposition, defendants argue that the many policies
7 and procedures they have developed and implemented beginning in
8 early 2020 to combat the virus are enough to reduce the risk of
9 harm to plaintiffs and thereby foreclose a finding on the
10 objective prong. Let me take a moment to be clear to the
11 parties that on this record, the Court does not need to resolve
12 the legal issue of whether DOC's compliance with its own
13 internal policies would preclude a finding that plaintiffs have
14 met the objective prong, and that is because there is a serious
15 factual dispute over the efficacy and the actual implementation
16 of defendants' proffered safety measures. And, as examples, I
17 am comparing the Feeney declaration from the defendants, with
18 the declarations of plaintiffs Azor-El, Barnar, and Cole. And
19 on the current record, the Court cannot accept defendants' word
20 that their practices are effective and are actually being
21 implemented and, thus, it will not resolve the broader issue of
22 whether actual implementation would foreclose a finding that
23 the risks of which plaintiffs complain pose an unreasonable
24 risk of serious damage to their health. This dichotomy between
25 policy and practice was raised in plaintiffs' reply brief and

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1 it was, the parties will recall, discussed at length during the
2 oral argument. I do note how however, that this Court agrees
3 with Judge Ramos that the situation at Rikers Island does not
4 need to "deteriorate before the Court can find that conditions
5 pose a substantial risk to inmates' health." This is from the
6 *Fernandez-Rodriguez* decision, 470 F.Supp. 3d at 352. The issue
7 for this Court is that plaintiffs are unable to make a
8 sufficient showing on the subjective prong and the Fourteenth
9 Amendment requires that DOC knew or should have known that the
10 conditions imposed an excessive risk to health or safety and,
11 as noted earlier, disregard -- which is another term it has
12 used in this context -- means failing to take reasonable
13 measures to abate the unconstitutional condition.

14 Courts in the Second Circuit have required plaintiffs
15 to establish that defendants demonstrated deliberate
16 indifference to the substantial risk of serious harm in order
17 to satisfy the subjective prong. And this was found both by
18 the *Fernandez-Rodriguez* decision at pages 353 and 354, and the
19 *Chunn* decision at pages 202 to 204. I will note, however, that
20 this is a very stringent standard and one that has not been
21 adopted by all Circuits. In the Seventh Circuit, for example,
22 in the *Mays v. Dart* decision reported at 974 F.3d 810 from
23 2020, the Seventh Circuit rejected the deliberate indifference
24 test for pretrial detainees asserting Fourteenth Amendment
25 violations in the analogous context and the identical context

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1 of the COVID-19 pandemic.

2 Plaintiffs argue that defendants' failure to implement
3 the very protective measures that plaintiffs now seek is,
4 itself, sufficient to meet the subjective prong. In this
5 regard, plaintiffs have focused on the inadequacy of
6 defendants' proffered reasons for failing to offer hand
7 sanitizer and wet wipes, COVID-19 testing to staff and inmates,
8 social distancing protocols, mask enforcement, and proper
9 ventilation. And the defendants counter that the policies and
10 procedures they have developed to minimize the spread of the
11 Coronavirus, plus their extensive planning to address the virus
12 as early as February 2020, demonstrates that they were not and
13 could not be viewed as deliberately indifferent to the risks
14 that the COVID-19 pandemic posed.

15 Arguments similar to those advanced by plaintiffs were
16 made to, and rejected by, the Courts in *Chunn* and
17 *Fernandez-Rodriguez*. Judge Kovner, in addition to finding that
18 the MDC plaintiffs had failed to meet the objective prong, also
19 found failure to meet the subjective prong, and in particular
20 she found that the evidence shows that MDC officials have been
21 acting urgently to prevent COVID-19 from spreading and from
22 causing harm. They have imposed dozens of measures such as
23 enhancing intake screening procedures for all inmates and
24 staff; providing soap and other cleaning products to inmates at
25 no cost; increasing cleaning of common areas and shared items;

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1 isolating symptomatic inmates; broadly distributing and using
2 PPE to prevent transmission of the virus; and modifying
3 operations throughout the facility to facilitate social
4 distancing to the greatest extent possible and abate the risk
5 of spread. That's a quote from Judge Kovner. She further
6 found, and I quote again, "Taken together, these and other
7 measures indicate that prison officials are trying very hard to
8 protect inmates against the virus and to treat those who have
9 contracted it and belie any suggestion that prison officials
10 have turned the kind of blind eye and deaf ear to a known
11 problem that would indicate deliberate indifference." This is
12 from pages 202 to 203 of her decision.

13 Even though, and even to the extent that the record
14 before her demonstrated gaps in or departures from BOP's stated
15 policies, Judge Kovner found them to be negligent errors rather
16 than deliberate indifference. And this is at page 203 of her
17 decision.

18 DOC here has implemented many of these same policies
19 at Rikers Island and this Court cannot find a principal basis
20 on which to distinguish the two cases on the current record.
21 And for his part, Judge Ramos found that while MCC officials
22 were aware of the risks to inmate health were the Coronavirus
23 to circulate through their facility, the plaintiffs there were
24 still unlikely to show that the officials disregarded that risk
25 by failing to take reasonable measures to abate it. And this

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1 is the *Fernandez-Rodriguez* decision 470 F.Supp. 3d at 352 to
2 355. And in part of this section Judge Ramos collected cases
3 from other Circuits where Court of Appeals had in fact vacated
4 preliminary injunctions on the subjective prong.

5 Here, in this case, the degree of planning and
6 preventive measures that are discussed in the Yang and the
7 Feeney declarations indicate levels of planning and concern
8 that actually exceeded that which Judge Ramos had found to be
9 adequate. And, indeed, while the plaintiffs in
10 *Fernandez-Rodriguez* had argued for additional testing
11 screening, sanitation, and hygiene protocols very similar to
12 those sought here, Judge Ramos found that in seeking those
13 measures, the plaintiffs had failed to show that the additional
14 protections they seek are necessary to bring the conditions in
15 the MCC above the constitutional minimum. And that's at page
16 354 of his discussion.

17 This Court agrees with that analysis and while it
18 appreciated and listened very carefully to Dr. Harrington's
19 explanation of the benefits of multiple and perhaps overlapping
20 intervention in suppressing the COVID-19 pandemic, and while it
21 doesn't -- it doesn't -- and I have no basis to dispute the
22 efficacy of his suggestions, the Court cannot conclude that the
23 failure to adopt anything less than the full slate of
24 plaintiffs' suggestions amounts to a constitutional
25 violation.

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1 Judge Ramos left open the possibility that the
2 plaintiffs in his case would lose the battle but win the war.
3 In particular he noted, and I am quoting from him, "Of course,
4 the MCC may fall short in its efforts to improve its pandemic
5 response. Should the Court be in a position to issue a final
6 ruling on the propriety of a permanent injunction, it will
7 consider these factors and a further developed factual record
8 in its deliberation." That is from the decision at page 355.
9 And, I want to make clear to the parties that I find the same
10 and I will do in this case.

11 During the oral argument the Court asked Mr. Keenan to
12 distinguish *Chunn* and *Fernandez-Rodriguez*, and he suggested
13 that the amount of time that had passed since their issuance
14 merited a different decision, that at a different stage of the
15 pandemic this Court should come to different conclusions. That
16 might be the case if DOC had been static in its response
17 despite developments in understanding of the pandemic or had
18 mounted only half-hearted efforts at the beginning followed by
19 a period of inaction, but the record before me indicates that
20 DOC's policies and responses are themselves evolving as new
21 information comes in. That is evident in the discussions in
22 the briefing and with the parties about the possibility of
23 vaccination, and in the Court's colloquy with Mr. Thayer about
24 asking his client to reconsider certain policies. For this
25 reason, the analysis in these earlier decisions remains useful

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1 and the record in this case supports a similar finding on the
2 subjective prong. But I will note again -- and I suspect the
3 parties are becoming attuned to these emphases that I am
4 drawing -- this decision is based on this record and that
5 record does not support a finding of deliberate indifference.
6 Should it turn out that evidence reveals DOC's knowing or
7 reckless failure to implement the very policies on which they
8 rely as evidence of no deliberate indifference, the Court's
9 conclusions could be very different.

10 Separately, I find that plaintiffs have failed to
11 demonstrate a likelihood of success on ADA and Rehabilitation
12 Act claims. They indicate and they claim that the defendants
13 have violated the ADA and the Rehabilitation Act because
14 certain of plaintiffs are qualified individuals within the
15 meaning of the ADA. DOC concedes that they are subject to the
16 ADA and defendants fail to offer reasonable accommodations in
17 the form of measures like sanitizing wipes, hand sanitizer, and
18 COVID-19 testing. Even were I to accept plaintiff's argument
19 that their placement at the NIC establishes that they have a
20 qualifying disability, I agree with defendants that plaintiffs
21 have failed to demonstrate a causal connection between their
22 purported disabilities -- and defendants do not concede that
23 plaintiffs have established a qualifying disability on this
24 record -- but their purported disabilities and the denial of
25 any reasonable accommodation. I am noting here and I am

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1 agreeing, at least for today's purpose, with the analysis set
2 forth at page 25 of the defendant's opposition.

3 So, I am concluding today that the plaintiffs have
4 failed to demonstrate a likelihood of success on their
5 constitutional and their statutory claims, and for this reason
6 I am denying their request for injunctive relief. In so doing,
7 let me observe that the plaintiffs' motion had a mandatory
8 component to it and a correspondingly high bar to meet. I have
9 also attempted to balance appropriately my concerns for the
10 safety and the well being of detainees at Rikers Island with my
11 disinclination, absent a greater record of constitutional
12 violation, to micro manage the operations at Rikers Island.
13 But, in so doing, I wish to offer the following thoughts to the
14 parties: It may be that the record before the Court was
15 insufficient to warrant injunctive relief today but defendants
16 are cautioned, particularly as the factual record is developed
17 in this case, that plaintiffs may well identify genuine
18 material disputes of fact regarding their constitutional and
19 statutory claims, and we may ultimately find ourselves at trial
20 on these same issues. To the extent that this knowledge, this
21 advance warning causes defendants to continue or to resume
22 internal discussions about appropriate COVID-19 protocols at
23 Rikers Island, or it causes defendants to reach out to
24 plaintiffs about mediation of these disputes, the Court would
25 welcome these developments.

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1 And on the issue of the factual record, which I have
2 mentioned numerous times in this decision, I observed when I
3 drafted this opinion that the record before me was less than
4 those developed by Judges Kovner and Ramos, and for reasons
5 that I will explain in a moment, I am going to authorize
6 targeted expedited discovery on certain topics.

7 Plaintiffs' proposed list of safety protocols includes
8 both policies that have been adopted, to some degree, by DOC,
9 such as mask-wearing and the availability of free COVID-19
10 testing for staff and the provision of certain cleaning
11 supplies, but also policies that have not been adopted
12 including the distribution of hand sanitizer among detainees,
13 the provision of disposable wipes to detainees or mandatory
14 staff testing. These latter policy suggestions may be
15 well-grounded from medical and public health perspectives, but
16 for reasons similar to those suggested by Judge Ramos, this
17 Court is not yet prepared to find their absence to be a
18 constitutional violation. Instead, this Court is focusing on
19 the degree to which DOC's COVID-19 policies are being followed
20 by the staff at Rikers Island. As the Court suggested in its
21 colloquy with Mr. Thayer, the more that defendants rely on
22 their development and implementation of these policies to stave
23 off constitutional challenges by detainees to the conditions of
24 their confinement -- one moment, let me pause.

25 Ms. Noriega, are we still on?

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1 THE DEPUTY CLERK: Yes, Judge. It looks like somebody
2 else joined the line and I muted them.

3 THE COURT: I thank you very much.

4 Let me just repeat myself, and I appreciate the
5 parties' indulgence as I do that.

6 As the Court suggested in its colloquy with
7 Mr. Thayer, the more the defendants rely on their development
8 and implementation of these policies to stave off
9 constitutional challenges by detainees to the conditions of
10 their confinement, the more appropriate it is for this Court to
11 consider whether those policies are merely aspirational or have
12 actually been put into place with appropriate penalties for
13 non-compliance. The current record does not support a finding
14 of deliberate indifference, but a more thoroughly developed
15 factual record may establish defendants' deliberate
16 indifference which might include, for example, relying on
17 policies known to be ineffective, or by failing to implement
18 policies that were believed to be effective.

19 In reviewing the record in this case, I noted
20 considerable disputes between the parties over policies and
21 practices regarding mask-wearing by staff at Rikers Island and
22 the provision and use of cleaning supplies, particularly to
23 detainees and particularly in common areas. And what I am
24 going to do as a result of that is to order targeted, expedited
25 discovery on these two areas. I am ordering the parties to

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1 meet and confer and to submit to me, by February 26, one week
2 from now, a letter addressing the scope and the schedule for
3 this targeted discovery including a time table for the
4 production of witness discovery and written discovery, and as
5 appropriate, the provision of witness testimony. If the
6 parties have disputes, they should bring them promptly to my
7 attention. But, those are the two areas in which I would like
8 to focus because, as I have mentioned a moment ago, these are
9 the policies that are being put forward by DOC as evidence that
10 they are not deliberately indifferent and I need to see what
11 they are and whether they have been followed. When that
12 discovery has concluded, we will meet again and we will talk
13 about whether it is appropriate to proceed to broader, more
14 plenary discovery or, perhaps and, whether it is appropriate to
15 schedule a second, comparably targeted, round of preliminary
16 injunction motion practice focused on whether and how these two
17 preventive policies are being carried out at Rikers Island.

18 Now, as a separate discovery issue, I did understand
19 from the parties that there was an interest on both sides in
20 Court-ordered disclosure of certain medical information. I
21 would ask you, please, to finish your discussions on that issue
22 as well and to submit to me a proposed disclosure order on or
23 before February 26, and that as yet another house-keeping
24 measure, I believe that we have been in discussions with
25 plaintiff's counsel regarding Plaintiff Cole's financial

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1 status. I believe that's where we were with that, either
2 plaintiffs' counsel was going to resolve the IFP -- in forma
3 pauperis -- application materials, or to cover the filing
4 costs. I would like that matter, if it hasn't already been
5 resolved, to be resolved before February 26, 2021.

6 I want to end by thanking you for listening to that
7 which I have just read and for your attention, but I also want
8 to end with this point that I really hope you pay attention to
9 and that I speak to you as much as a human being than as a
10 Judge. I fully expect that at some point after this targeted
11 period of discovery is concluded we will proceed to plenary
12 discovery. I don't think that the discovery portion of this
13 litigation will be short and, more than that, even on the
14 limited record I have now, I don't see defendants succeeding on
15 a motion for summary judgment, and I think, instead, that all
16 of these issues are going to be hashed out in what I expect
17 would be a lengthy jury trial. Nothing stops defendants here
18 from adding to the preventive measures that they have
19 implemented. Nothing stops defendants here from considering or
20 reconsidering the measures proposed by plaintiffs in their
21 motion papers. I hope we all agree, those on this call, that
22 the concern here is to prevent the introduction and the spread
23 of the COVID-19 virus to the detainees and to the staff at
24 Rikers Island, and such prevention can only redound to our
25 benefit as fellow New Yorkers. It is my hope -- it is my

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1 expectation -- that the defendants, that the parties, focused
2 on that shared concern and not merely on litigation strategy.

3 With that, I have resolved the issues that I wanted to
4 bring to your attention and I have resolved the outstanding
5 motion.

6 Mr. Keenan, I know that you have been paying very
7 careful attention to what I have said. Is there any issue that
8 I have left open that you wish to bring to my attention now?

9 MR. KEENAN: Thank you, your Honor. We really
10 appreciate the Court bringing us together today to announce its
11 decision and just want to thank the Court again.

12 There are no issues that we can think of at this time.
13 I imagine there might be things that come up in the targeted
14 discovery that your Honor has spoken of. The one issue that
15 comes to the top of my mind is the ability to effectively get
16 testimony from inmates at a variety of Rikers units to give
17 basically a sampling of what inmates are seeing on the ground
18 at different facilities. I know that probably isn't an issue
19 that we can or should resolve on the record today but I want to
20 flag that and we will, of course, work with the defendants in
21 order to make that happen. But, we just want to make sure that
22 we are able to talk with a reasonable number of inmates in
23 different facilities and get declarations or short deposition
24 testimony from them, if necessary.

25 THE COURT: Okay. I appreciate you calling that to my

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1 attention because that is a very thoughtful thing to think
2 about at this time. I am expressing, I think, a preference for
3 declarations at this time but I would understand if the parties
4 can make it happen where we can have short depositions so that
5 both sides can question these individuals, this sample size as
6 you said, I can understand how that would be effective as well.

7 Look. I will be waiting for you all to tell me what
8 your disputes are. My hope remains that there aren't that many
9 but I am here if you need them resolved.

10 MR. KEENAN: Certainly. Thank you, your Honor. And
11 the reason that we might ask for a brief -- and I am talking
12 about 15 or 20-minute -- depositions, say by Skype or by
13 telephone is the realities of the lag time in the mail, even
14 from lower Manhattan to get, to talk with someone on the phone,
15 draft a declaration, send it to Rikers, have it processed
16 through the mail system and security there, and then get it
17 back, we are seeing a two-week turnaround on that, whereas
18 Rikers has a system for having inmates -- obviously they can
19 call but there is a system for them to use Skype or Microsoft
20 Teams to have a video conference and that could be convened,
21 with all counsel present, everybody talking with the inmate at
22 the same time, maybe with a court reporter there, on a day's
23 notice. So, really it is the time factor why we think it might
24 be helpful in this instance to have a few, very short, very
25 targeted depositions. That's what we have to say on that

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1 issue.

2 THE COURT: Thank you. And, Mr. Keenan, as I always
3 do, I appreciate the information that I don't have which is I
4 am aware that there are delays in the mail. I see them here.
5 I did not appreciate that you are seeing a two-week turnaround.
6 That could potentially eat up a large chunk the period of
7 discovery so thank you for telling me about that and I
8 understand the issue better now.

9 Mr. Thayer, turning to you, sir, are there open issues
10 that we should be resolving in this conference?

11 MR. KEENAN: No, your Honor. The defendants don't
12 have anything that they would like to raise. Then, with regard
13 to the scheduling of some sort of interchange between
14 plaintiffs counsel and us and inmates at Rikers, we are
15 certainly happy to talk about that and see what we can do to
16 keep discovery moving quickly and to honor both the text and
17 spirit of your Honor's order.

18 THE COURT: Thank you. I am confident that both sides
19 will play together nicely as we put together the schedule. You
20 will tell me any issues that arise. With that, I am going to
21 bid you farewell, and on this very snowy Friday I wish you, as
22 I hope I always do, safety and good health during this
23 pandemic, I wish you well.

24 We are adjourned. Thank you.

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